Diplomate, American Boards of Psychiatry & Neurology and Preventive Medicine

Board Certifications in Psychiatry

Addiction Medicine Addiction Psychiatry Geriatric Psychiatry Forensic Psychiatry

320 Washington St., 4th Floor, Brookline, MA 02445-6873 Telephone: (617) 734-3572 Fax: (617) 734-2757

## CURRICULUM VITAE Barry H. Roth, M.D. Forensic Psychiatrist

#### **General Information**

Date Prepared: January 21, 2025

Page | 1

**Office Address:** 320 Washington St., 4th Floor Brookline, MA 02445

Email: <u>broth@bidmc.harvard.edu; broth@5boardsforensic.com</u>

**Phone:** 617-734-3572

**Place of Birth:** Rochester, New York

**Education:** 

1969 A.B. Cornell University, Chemistry Major

1973 M.D. University of Rochester School of Medicine and Dentistry

#### **Licensure and Certification:**

1975-Present Massachusetts License Registration #38670

#### Member Boards of the American Board of Medical Specialties

Diplomate, American Board of Psychiatry and Neurology (ABPN)

1983-Lifetime **Psychiatry** *Certificate No.* **24842** 

1993, 2003-2013

2013-2024

2024-2034 Addiction Psychiatry Certificate No. 367

1994, 2004-2014

2014-2024

2024-2034 Geriatric Psychiatry Certificate No. 1180

1999, 2009,

2019-2029 Forensic Psychiatry Certificate No. 1196

Diplomate, American Board of Preventive Medicine (ABPM)

2020-2029 Addiction Medicine Certificate No. 61-18402

American Society of Addiction Medicine

1989- Lifetime Addiction Medicine Certificate No. 00402

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## **Forensic Psychiatric Experience**

I have provided Forensic Psychiatric Expert opinions, reports, deposition, and trial testimony in a variety of civil and criminal realms including:

## **Psychiatry**

Posttraumatic Stress Disorder

## Page | 2

## Addiction Psychiatry & Addiction Medicine

- Medical liability defense
- **>** Boards of Registration in Medicine, Nursing assessments
- Product Liability Multi-District Litigation
- Fitness for Duty --- Police & Fire Officers

#### Geriatric Psychiatry

- ➤ Will contests
- > Testamentary capacity
- Undue influence

#### **Independent Medical Evaluations**

- Disability
- ► Hospital professional staff function

#### Competencies

> Ĉivil

Informed consent for clinical services (Rogers determinations).

Financial

#### Criminal

Mental state at time of a crime

Mental state at Sentencing

Diversion for Addiction treatment evaluations for convicted and sentenced prisoners

#### Other

- Wrongful termination
- > Immigration Court
- ➤ Civil rights

#### **Editorial Activities**

Ad hoc Reviewer Harvard Review of Psychiatry

#### **Pro Bono Forensic Work**

- ➤ Under the auspices of the Physicians for Human Rights Refugee Asylum Network, I have performed pro bono forensic evaluations and testimony for male and female torture survivors from Europe, Africa, North and South America, and the Caribbean for more than 2 decades.
- ➤ Collaborating Investigator DSM-5 Field Trials, 2011.

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These contributions have related directly to public policy--- both on an individual and on the national level. Observations during human rights delegations and my work products in our legal system have continued to mutually inform each other.

➤ Instructor, Harvard Law School Trial Advocacy Workshop 2014-

Awards and Honors:  $\overline{\text{Page } \mid 3}$ 

2007	Distinguished Fellow, Massachusetts Psychiatric Society and
	American Psychiatric Association
2014	Distinguished Life Fellow, Massachusetts Psychiatric Society and
	American Psychiatric Association (DLFAPA)
2023	Fellow of the American Society of Addiction Medicine (FASAM)
2024	International Scientific Committee,
	International Academy of Law and Mental Health (IALMH)

#### **Postdoctoral Training:**

1978-1979	Fellow in Community Psychiatry, Tufts- New England Medical Center, Boston, MA
1977-1978	Chief Resident, Southard Outpatient Clinic, Massachusetts Mental Health Center, Boston, MA
1975-1977	Resident in Psychiatry, Massachusetts Mental Health Center, Boston, MA.
1973-1974	Rotating Intern in Medicine, Highland General Hospital, Oakland, CA

#### **Current Research Activities**

For more than two decades, I have been an active member of the Program in Psychiatry and the Law, (PIPATL) at the Massachusetts Mental Health Center, Harvard Medical School, working closely with Co-founder Professor Thomas Gutheil, M.D. Assistant Professor Michael L. Commons, Ph.D., Director of Research.

I have made over 20 Presentations to PIPATL (in addition to those listed in Symposia, Scholarly, Clinical Communications, & Legislative Testimony, below.)

#### **Clinical Practice**

For a half-century, my clinical practice included not only solo practitioner office-based psychiatric treatment to a general adult population (adolescents to centenarian); but, also, encompassed an unusually broad spectrum of in-depth urban community-based ambulatory and hospital care, and teaching.

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These wide-ranging professional experiences and expertise provided the foundation to achieve Certification as Diplomate in Psychiatry, American Board of Psychiatry and Neurology (ABPN); and subsequent Certifications in the Subspecialties of Addiction Psychiatry (1993, 2003, 2013, 2024), Geriatric Psychiatry (1994, 2004, 2014, 2024), and Forensic Psychiatry (1999, 2009, 2019 - present).

I became Diplomate, American Board of Preventive Medicine (ABPM) 2020-2029) when I achieved Subspecialty Certification in Addiction Medicine.

Massachusetts Psychiatric Society and American Psychiatric Association

Page | 4

## **Professional Societies and Memberships:**

1981-1993,

1997-Present	
2001- Present	Program in Psychiatry and the Law, Massachusetts Mental Health Center, Beth Israel Deaconess Medical Center, Harvard Medical School
2002-Present	American Academy of Psychiatry and the Law
1994-Present	Physicians for Human Rights
1988-1990, 2019-Present	American Society of Addiction Medicine
1981-1990	Physicians for Social Responsibility, American Affiliate of International Physicians for the Prevention of Nuclear War, Recipient, Nobel Prize for Peace, 1985
2014	Member, International Forum of Teachers (IFT) of the UNESCO Chair in Bioethics
2019	Member, International Academy of Law and Mental Health
2016	World Psychiatric Association, Section on Consequences of Torture and Persecution
2024	World Psychiatric Association, Section on Foresic Psychiaatry

#### **Academic Appointments:**

2003-2007	Research Associate, Program in Psychiatry and the Law, Massachusetts Mental Health
	Center and Department of Psychiatry, Beth Israel Deaconess Medical Center, Harvard Medical School
1992-2002	Teaching Psychiatry, Faulkner Hospital/Brigham & Women's Faulkner Hospital, Boston, MA

# **Diplomate**, American Boards of Psychiatry & Neurology and Preventive Medicine **Board Certifications** in **Psychiatry**

# Addiction Medicine Addiction Psychiatry Geriatric Psychiatry Forensic Psychiatry

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1992-2000	Clinical Instructor in Psychiatry, Harvard Medical School,
1985-1987	New England Deaconess Hospital/ Beth Israel Deaconess Medical Center Clinical Instructor in Psychiatry, Harvard Medical School, Cambridge Hospital
1984-1985	Assistant Clinical Professor of Psychiatry, University of California at San Francisco, San Francisco VA Medical Center  Page   5
1978-1979	Clinical Instructor in Psychiatry, Harvard Medical School, Massachusetts Mental Health Center
1978-1979	Fellow in Community Psychiatry, Tufts New England Medical Center, Boston
1979-1983	Instructor in Psychiatry, Harvard Medical School, Brockton VA Medical Center and Massachusetts Mental Health Center
1975-1978	Clinical Fellow in Psychiatry, Harvard Medical School,
1976-1977	Clinical and Research Fellow, Alcohol and Drug Abuse Research Center, McLean Hospital, Belmont, MA
1974-1975	Clinical Instructor, Ambulatory and Community Medicine, University of California at San Francisco
1975	Assistant Research Physician, Langley Porter Organized Activities, University of California at San Francisco. I worked as staff physician in the Marijuana Research Project in the Department of Psychiatry at UC San Francisco. My job was examining, admitting, and attending the medical needs of the research subjects at the facility at Langley Porter Organized Activities of the UCSF
Teaching	
2014-present 1978-1987 1994-2000	Instructor, Harvard Law School Trial Advocacy Workshop Harvard Medical School, Clinical Instructor, and Instructor in Psychiatry: supervision of psychiatric residents, psychology interns, nurses, social workers, occupational therapists, and Medical students at Massachusetts Mental Health Center, Brockton Veterans
1975	Administration Medical Center, Mount Auburn Hospital, Cambridge Hospital, and New England Deaconess Hospital/Beth Israel Deaconess Medical Center. Clinical Instructor, Ambulatory and Community Medicine, University of California at San Francisco: preceptor for a Family Medicine Resident, studying the impact of Prepaid Health Plans, on his patient population
1984-1985	Assistant Clinical Professor of Psychiatry supervising Psychiatry Fellows

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in Alcoholism and Substance Abuse, San Francisco Veterans

Administration Medical Center, University of California

at San Francisco. At that time, I supervised H. Westley Clark, M.D., J.D., MPH, former Director, Center for Substance Abuse Treatment, Rockville,

Maryland.

Other Appointments:	Page	6
October 2011-April 2013	Weeks Medical Center, Lancaster, NH Active, Psychiatry (Medicine)	
2002-2015	Courtesy Staff, Brigham & Women's and Faulkner Hospital, Boston	
1988-2003	New England Deaconess Hospital/Beth Israel Deaconess Medical Center, Boston, MA Courtesy Staff, Psychiatry (in the Department of Medicine, then in the Department of Psychiatry).	
1982-1984, 1989-2004	New England Baptist Hospital, Boston, MA Variously, Consulting, Courtesy, Active, and Professional staff positions according to activity in Psychiatry (Department of Medicine) 1984, Affiliate in Psychiatry (Medicine)	
1986-1988	New England Deaconess Hospital, Boston, MA	
1985	Mount Auburn Hospital, Cambridge, MA Assistant Director, Inpatient Psychiatry	
1984-1985	Veterans Administration Medical Center, San Francisco, CA Chief, Alcoholism Inpatient Unit	
1978-1984	McLean Hospital, Belmont, MA Assistant in Psychiatry, Alcohol and Drug Abuse Research Center, (Participation in Heroin Research under the direction of Steven Mirin, M.D.)	
1978-1984	Massachusetts Mental Health Center, Boston, MA Supervising Psychiatrist	
1979-1983	Veterans Administration Medical Center, Brockton, MA Staff Physician in Psychiatry	
1975	San Francisco General Hospital, Department of Medicine	
1975	Langley Porter Organized Activities, Psychiatry Research1975University of California, San Francisco Langley Porter Organized Activities Assistant Research Physician, (Collaboration in Marijuana Research Projects with Reese Jones, M.D., and Neil Benowitz, M.D.	

## Other Professional Positions and Major Visiting Appointments:

1974-1975 Physician, City and County Jail of San Francisco at San Bruno, California. I worked

with the Prisoners' Health Project; part of remediation efforts monitored by a Federal Court Master following a class action suit by San Francisco County

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prisoners. Substandard medical care had violated the Constitutional prohibition of cruel and unusual punishment. My report, "Evaluation of Health Care Conditions in San Francisco County Jail, informed the oversight process, "Prisoners' Health Project: A Commitment to Change," Medical Care for Institutionalized Persons, Final Report, 1974 through 1978, supported by Grant Number 09-H-001335, awarded by the Public Health Service of the Dept.

of Health, Education and Welfare, Region 9, San Francisco, CA.)

Page | 7

Medical Consultant, San Francisco Neighborhood Legal Assistant Foundation, particularly in matters of service erosion in "Prepaid Health Plans" for California

Medicaid recipients.

## **Major Committee Assignments:**

1993 Curriculum Committee, Harvard Longwood Psychiatry Residents Training Program,

Harvard Medical School, Boston

#### **Publications:**

1. HOW DO YOU KNOW WHEN IT IS TORTURE? Barry Roth, <u>Medicine and Law</u> [Med Law (2013) 32:327-346]

- 2. Patient Dumping (Article) in the <u>Health Policy Advisory Center Bulletin</u>, No. 58, May/June 1974, 17 Murray Street, New York, NY 10007
- 3. 2016 12 21 Supreme Court of the United States

Nos. 15-1358, 15-1359 and 15-1363 ZIGAR v. ABBASI

Coordinator of 19 Amici Experts

BRIEF OF MEDICAL AND OTHER SCIENTIFIC AND HEALTH-RELATED PROFESSIONALS AS AMICI CURIAE IN SUPPORT OF RESPONDENTS AND AFFIRMANCE

4. Istanbul Protocol 2022 Edition Co-Author

## Symposia, Scholarly, Clinical Communications, & Legislative Testimony:

2024 07 22

XXXVIII<sup>th</sup> International Congress on Law and Mental Health

Faculty of Law, University of Barcelona

Convener and Chair of Panel,

Feminicide, Therapeutic Witness, Therapeutic Innovation, and Human Bonds Presenter, *Torture, War, & Other Crimes* 

Presenter, Civil Society Supersedes Brainwashing, Gaslighting, Cults, Coercive Control, Trafficking, & Torture

in Panel, Forensic Models to Update the Law Regarding Undue Influence

1975

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2023 10 18

15th World Conference on Bioethics, Medical Ethics and Health Law, 18 October 2023, Porto, Portugal. World Medical Association Presenter, "Torture vs. Rights to a Safe Planet, Rule of Law and Living Wage"

Panel: Human Dignity and Human Rights

2019 06 15

Presentation: Adult Development, Nature of Mind & Torture

Page | 8

Society for Research In Adult Development Symposium Salem State University, Massachusetts 2022 07 07

XXXVII<sup>th</sup> International Congress on Law and Mental Health (International Academy of Law and Mental Health (Human Dignity and Human Rights Presenter,

"Coercion, Vulnerability, Undue Influence & Law, Freedom of the Mind & Human Rights"

Panel: COERCION AND VULNERABILITY

(with Thomas Gutheil & Steven Alan Hassan, The Program in Psychiatry and the Law, Harvard University, "The Need to Update the Law Regarding Undue Influence")

Lyon

2019 07 23

XXXVI<sup>th</sup> International Congress on Law and Mental Health (International Academy of Law and Mental Health "IALMH") Presenter, "Clinical and Forensic Psychiatric Pearls: PTSD and Color of Authority" & Convener of Panel: Istanbul Protocol Supplement: FAQ's & Facts on the Ground, Rome

2017 10 27 48th Annual Meeting American Academy of Psychiatry and the Law

Presenter, "Civil Society Teamwork to Confront Torture: Refine Investigation and

Documentation & Coordinate a Related SCOTUS Amicus Brief"

in Panel: "Responses to the New Executive Orders on Immigration"

Denver, Colorado

2017 07 13

XXXV<sup>th</sup> International Congress on Law and Mental Health

Presenter, "Civil Society Dialogue: 2016 Immigration SCOTUS Amicus Brief & Implementing the Istanbul Protocol" in

Panel: REFUGEES AND ASYLUM SEEKERS IV: REFUGEE MENTAL HEALTH

AND LAW: GLOBAL PROBLEMS, LOCAL RESPONSES THURSDAY

Charles University, Prague

2017 07 12

XXXV<sup>th</sup> International Congress on Law and Mental Health,

Presenter, "A Case Example of Attorney-Expert Negotiations on the Eve of Trial" in

Panel: "The Eve of Trial"

2017 07 10

International Congress International Academy of Law and Mental Health

Presenter, "Torture: What It Is and Why It Matters" as

Convener of Session: Crisis and Cataclysm Trauma Interventions in Civil Society

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2016 09 21

Conference "Istanbul Protocol Implementation: Transforming Regional Experiences into International Norms for Effective Torture Investigation and Documentation"

Presenter, "Torture: What It Is and Why It Matters" in MEDICAL WORKING GROUP

FORENSIC PSYCHOLOGICAL/PSYCHIATRIC DOCUMENTATION AND

#### REHABILITATION OF TORTURE VICTIMS

Conference organizers: Government of the Kyrgyz Republic General Prosecutor's Office of trage | 9 Kyrgyz Republic National Center of the Kyrgyz Republic on Prevention of Torture and Other

Cruel, Inhuman or Degrading Treatment and Punishment in collaboration with Soros Foundation-

Kyrgyzstan, Open Society Foundations, Physicians for Human Rights

the Coalition of NGOs against Torture of the Kyrgyzstan International Rehabilitation Council for Torture Victims United Nations High Commissioner. For Human Rights

Ludwig Boltzmann Institute of Rights: Consortium Partner of the EU project Promotion of Rule of Law in Kyrgyzstan Coalition of NGOs against Torture in Kyrgyzstan Organization for Security and Co-operation in Europe (OSCE), Centre in Bishkek

Bishkek, Kyrgyz Republic

2016 04 28

World Psychiatric Association Regional Conference Scientific Section: Psychological Consequences of Torture and Persecution Presenter, "Torture in the Anthropocene" in Symposium: Refugee Trauma and Torture

Tbilisi, Georgia"

2016 04 27

World Psychiatric Association Regional Conference

Pre-Conference Workshop: Torture, Holistic Rehabilitation and the Istanbul Protocol

Session 1: The Istanbul Protocol Funded by the European Union, Organized by Rehabilitation Centre for Victims of Torture EMPATHY

Session 1: The Istanbul Protocol

Presenter, "Torture: What It is and Why It Matters"

2015 10 20

UNESCO Chair in Bioethics 11th World Conference BIOETHICS, MEDICAL ETHICS & HEALTH LAW

Co-Chair, Panel: Human Dignity and Human Rights

Presenter, "You Know It Is Torture When There Is Official Terror" Naples, Italy

2015 07 15

XXXIV<sup>th</sup> International Congress on Law and Mental Health Under the auspices of International Academy of Law and Mental Health

Presenter, "You Know It Is Torture When There Is Official Terror" as

Convener of Panel: PTSD: Credibility, Mystery, Authority and War Crimes, 2015 Sigmund Freud University, Vienna

2015 07 14

Presenter, "Cross-Dimensional Evaluations of Addiction: 'Hitting Bottom' and the Workplace" in Panel: Addiction and Mental Health in the Workplace: Opportunities and Challenges

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2015 01 07 UNESCO Chair in Bioethics 10TH WORLD CONFERENCE BIOETHICS,

Medical Ethics. & Health Law

Presenter, "You know it is torture when there is official terror" in Panel: Gnawing Ethical Conundrums Jerusalem

2013 11 20

UNESCO Chair in Bioethics 9th World Conference BIOETHICS, MEDICAL

ETHICS & HEALTH LAW

Co-Chair, Panel: WAR, SECURITY AND ETHICS

Page | 10

Presenter, "How Do You Know When It Is Torture? "Naples, Italy 2013 07 18

XXXIII<sup>rd</sup> Congress of the International Academy of Law and Mental Health

Presenter, "How do you Know When It's Torture?" as

Panel Convener "Healing Inhuman Trauma: Obstacles, Successes and New Tools to Create Civil Society" Amsterdam

2012 09 03 UNESCO Chair in Bioethics 8th International Conference on Bioethics Education Presenter, "How do you Know When It's Torture?" in Panel "Ethics: General" Tiberias, Israel

2011 07 19 XXXII<sup>nd</sup> International Congress on Law and Mental Health

Presenter, "How do you Know When It's Torture?" in

Panel, FORENSIC AND ETHICS CONTROVERSIES

Humboldt University, Berlin

2011- Program in Psychiatry and the Law, Massachusetts Mental Health Center, Beth Israel Deaconess Medical Center, Harvard Medical School More than 16 Presentations on Related Subjects

Presentation, "Treatment of Alcoholism", New England Baptist Hospital Department of Medicine, Grand Rounds, 1993

Presentation, "Alcoholism and Narcissism", New England Deaconess Hospital Psychiatry Social Workers, January 9, 1993

Paper Presentation, "Nuclear Arms and Narcissism" International Society of Political Psychology, July 1988, Secaucus, New Jersey

Chairperson and Presenter, Paper Session: "Nuclear War and its Prevention," International Society of Political Psychology, 9<sup>th</sup> Annual Scientific Meeting, Amsterdam, Holland, June 30, 1986.

Presentation, "Convergence of AA and Psychodynamic Psychotherapy," at the Massachusetts Psychiatric Society Committee on Alcoholism and the Addictions, Co-Sponsored with the American Medical Society on Alcoholism and Other Drug Dependencies, Harvard Faculty Club, Cambridge, December 3, 1986.

Presentation of paper, "Convergence of Alcoholics Anonymous, Biopsychosocial Systems and Analytic Theory," at Mount Auburn Hospital Department of Psychiatry Grand Rounds, December 10, 1985

Presentation, "Psychological Aspects of the Threat of Nuclear War" Department of Psychiatry Grand Rounds, Mount Auburn Hospital, Fall 1985

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Presentation in Paper Session: "Nuclear War and Psychiatry," First Baltimore International Congress of Transcultural Psychiatry," July 5, 1985, Baltimore, MD. Poster Group "Nuclear," American Orthopsychiatric Association 62nd Annual Meeting, April 21, 1985, New York, NY.

Presentation of "Nuclear Arms and Narcissism", American Psychiatric Association 137<sup>th</sup> Annual Meeting, Paper Session: "Psychiatric Considerations and the Threat of Nuclear War"

(Chairperson, Roy Menninger, M.D.), May 10, 1984, Los Angeles, CA.

Presentation of "Nuclear Arms and Narcissism" in evolving versions at: VII World Congress Page | 11 Psychiatry, Paper Session "Violence and Aggression," July 14, 1983, Vienna, Austria.

Presentation to Brockton Hospital, Department of Medicine Grand Rounds,

Psychological Aspects of the Threat of Nuclear War" June 1983.

Presentation of paper, "Convergences of Biopsychosocial Systems Theory, Psychoanalytic, Psychodynamics, and Alcoholics Anonymous 12 Steps Practice," at the Grand

Rounds, Brockton Veterans Administration Medical Center, May 11, 1982.

Presentation of paper, "The Massachusetts Mental Health Center Patient Population, 1965-1975: Implications of Catchment-Area Enactment for Services and Training," at the American Orthopsychiatric Association 58<sup>th</sup> Annual Meeting, Theme: "Interdisciplinary Practice-Public, Professional and Political Issues," in Panel 118, Psychotherapy for People

Without Money, March 30, 1981.

Presentation of paper, "Short-term In-patient Alcoholism Treatment: Psychiatric Approach to Patient and Staff Individual and Group Issues," presented at the 32" Annual American Psychiatric Association Institute on Hospital and Community Psychiatry, in the panel, "The Alcoholic, Where? Lost between Medicine

and Psychiatry" (Panel Co-chair), September 15-18, 1980.

Presentation of paper, "Toward a General Theory of Psychotherapy," with coauthor Leston L. Havens, presented at the William Alanson White Institute, Harry Stack Sullivan Commemorative Symposium: Psychoanalysis, 1980: Converging Views, Plenary Session and Panel Discussion, January 27, 1980, New York, NY.

Research on the unmet health care needs of the elderly, supported by, and reported to T. Franklin Williams, M.D., then Medical Director of the Monroe Community Hospital, subsequently Director of the National Institute on Aging.

- a) "Health Care Planning for Elderly in Monroe County," August 1971; and
- b) "Unmet Health Needs of Senior Citizens," September 1972. This research project was also the subject of an article in the Rochester, New York, "Times Union" newspaper, Tuesday, July 1, 1971, "U R a Student Studying Medical Needs Care."

University grant-sponsored research, 1970, Medical School Minority Student Admissions Policies. I did this project while a member of the Medical Student Body Senate and reported findings to several student and faculty gatherings. This preceded by about a year the significantly increased diversification of the student body at the University of Rochester School of Medicine and Dentistry. I also reported informally in my role as student Senate representative at the Annual Meeting of the American Association of Medical Colleges, in 1970 in San Diego.

#### **Testimony:**

Senate Subcommittee on Medical Education and Health Needs, Hearings on the Closing of County Hospitals, commissioned by Chairman Mervyn M. Dymally, Senate Committee on Health and Welfare, California Legislature, August 5, 1974, Sacramento, CA.